

## Questions

[If you are studying for the final exam and only have a few hours to spend on these particular exercises, then I suggest concentrating on just a few of them, say Q7-Q9 and Q13-15. ]

- Suppose you have three  $n \times n$  arrays, call them  $a[][]$ ,  $b[][]$ , and  $c[][]$ . Consider the following.

```

for i = 1 to n
  for j = 1 to n{
    c[i][j] = 0
    for k = 1 to n
      c[i][j] += a[i][k] * b[k][j];
    }

```

(Those you familiar with linear algebra will recognize this as matrix multiplication.)

Give a tight big  $O$  bound on this algorithm as a function of  $n$ .

- True or false? Prove it.

- $n!$  is  $O((n+2)!)$ .
- $(n+2)!$  is  $O(n!)$ .
- $9^n$  is  $O(12^n)$ .
- $12^n$  is  $O(9^n)$ .

- Let  $t(n) = \sum_{i=0}^n 3^i$ . Show that  $t(n)$  is  $O(3^n)$ .

- Use mathematical induction to prove that  $Fib(n)$  is  $O(\left(\frac{7}{4}\right)^n)$ .
  - Use mathematical induction to prove that  $Fib(n) \in \Omega\left(\left(\frac{3}{2}\right)^n\right)$ .

- Show  $2^n$  is  $O(n!)$ .

- Let  $t(n) = n \log n$ . Prove that  $t(n)$  is  $\Omega(\log(n!))$ .

- Let  $t(n) = \frac{n^2}{2} + 3 \log n - 40$ . Prove that  $t(n)$  is  $\Omega(n^2)$ .

- Let  $t(n) = \frac{1}{5} \log(n-8)$ . Show that  $t(n)$  is  $\Omega(\log(n))$

- Let  $t(n) = (n+8)^{1.3} + 3n + 5$ . Prove that  $t(n)$  is  $O(n^{1.3})$ .

- Let  $t(n) = \sqrt{31n + 12n \log n + 57}$ . Prove that  $O(\sqrt{n \log n})$ .

11. If  $f(n)$  is  $O(g(n))$ , can we conclude that  $2^{f(n)}$  is  $O(2^{g(n)})$  ?

12. Is  $t(n) = \frac{1}{n}$  in  $\Omega(1)$  ?

13. Let  $t(n) = 5n^2 + 3n + 4$ .

(a) Use a limit argument to show that  $t(n)$  is  $O(n^2)$ .

(b) Find constants  $c, n_0$  that satisfy the definition of big O for this example.

14. Give a tight big O bound on

$$t(n) = \sqrt{n^2 + 100n} - n.$$

15. What are the  $O()$  and  $\Omega()$  relationships between  $t(n) = n^a$  and  $g(n) = n^b$ , where  $0 < a < b$  ?

16. What is the big O and big Omega relationship between  $t(n) = \log_a n$  and  $g(n) = \log_b n$ , where  $0 < a < b$  ?

Hint:

$$\log_a n = \log_a b * \log_b n$$

## Answers

- The algorithm is  $O(n^3)$ . Why? For each value of  $i$ , we run the two inner loops ( $j$  and  $k$ ). There are  $n$  values of  $i$ , so the number of steps is  $n$  times the number of steps in the two inner loops. The two inner loops take  $n^2$  steps (by similar reasoning, namely for each value of  $j$ , we run through all  $n$  values of  $k$ ). Thus, the number of steps is  $O(n * n^2) = O(n^3)$ .
- (a) (True) Applying the formal definition, we want to know if

$$n! < c(n+2)(n+1) \cdot n!$$

for  $n$  sufficiently large. Dividing by  $n!$  gives

$$1 < c(n+2)(n+1).$$

So let  $c = 1$  and  $n_0 = 1$ .

- (b) (False) Here we need to find a  $c, n_0 > 0$  such that

$$(n+2)(n+1) \cdot n! < c(n!)$$

for all  $n > n_0$ . Choose any  $c, n_0$ . Then, dividing by  $n!$ , we would now need to show that  $(n+1)(n+2) < c$  for all  $n \geq n_0$ . But this is clearly false, since the left side grows without bound as  $n$  grows. Thus,  $(n+2)!$  is not  $O(n!)$ .

- (c) (True) Since  $9 < 12$ , it follows that  $9^n < 12^n$  and so  $c = 1$  and  $n_0 = 1$  does the job.
- (d) (False) We want to show there exists  $c, n_0 > 0$  such that  $12^n < c9^n$  for all  $n \geq n_0$ . But

$$12^n < c9^n \iff \left(\frac{12}{9}\right)^n < c$$

But this inequality cannot be true for all  $n \geq n_0$ , since the left side grows without bound. Thus,  $12^n$  cannot be  $O(9^n)$ .

- Recall the formula for a geometric series

$$\sum_{i=0}^n a^i = \frac{a^{n+1} - 1}{a - 1}.$$

Then,

$$\sum_{i=1}^n 3^i = \frac{3^{n+1} - 1}{3 - 1} = \frac{3}{2} \left(3^n - \frac{1}{3}\right)$$

which is  $O(3^n)$ , i.e. take  $c = \frac{3}{2}$  and  $n_0 = 1$ .

- (a) We need to find an  $n_0$  and  $c$  such that, for all  $n \geq n_0$ ,  $F(n) < c\left(\frac{7}{4}\right)^n$ .

Try  $c = 1$ . The base case is trivial since  $F(0) = 0 < (\frac{7}{4})^0$  and  $F(1) = 1 < \frac{7}{4}$ . So let's hypothesize that  $F(n) < (\frac{7}{4})^n$  for all  $n$  up to some  $k \geq 1$  and see if it follows for  $n = k + 1$ .

$$\begin{aligned} F(k+1) &= F(k) + F(k-1) \\ &< \left(\frac{7}{4}\right)^k + \left(\frac{7}{4}\right)^{k-1} \text{ by the induction hypothesis,} \\ &= \left(\frac{7}{4} + 1\right)\left(\frac{7}{4}\right)^{k-1} \end{aligned}$$

But it is easy to verify that  $\frac{7}{4} + 1 < (\frac{7}{4})^2$  and so (from the induction hypothesis) we get

$$\begin{aligned} F(k+1) &< \left(\frac{7}{4}\right)^2 \left(\frac{7}{4}\right)^{k-1} \\ &= \left(\frac{7}{4}\right)^{k+1}. \end{aligned}$$

This proves the induction step, and so we are done.

- (b) We need to find an  $n_0$  and  $c$  such that  $F(n) > c(\frac{3}{2})^n$  for all  $n \geq n_0$ .

Let's first establish a base case. We can't have a base case for  $n = 0$  since  $F(0) = 0$  and so it will be impossible for  $F(0) > c(\frac{3}{2})^0$  for  $c > 0$ . Instead, we try to find a  $c$  and use the base case(s)  $n = 1, 2$ . If we let  $c = (\frac{5}{3})^2$ , then indeed we have  $F(n) > c(\frac{3}{2})^n$  for  $n = 1, 2$ . So let's try using that  $c$  and proving the induction step.

We assume the induction hypothesis, namely we assume that  $F(n) > c(\frac{3}{2})^n$  for  $n = k - 1, k$ . We want to show it follows that  $F(k + 1) > c(\frac{3}{2})^{k+1}$ .

$$\begin{aligned} F(k+1) &= F(k) + F(k-1) \\ &> c\left(\frac{3}{2}\right)^k + c\left(\frac{3}{2}\right)^{k-1} \text{ by induction hypothesis} \\ &= c\left(\frac{3}{2} + 1\right)\left(\frac{3}{2}\right)^{k-1} \\ &> c\left(\frac{3}{2}\right)^2\left(\frac{3}{2}\right)^{k-1}, \text{ since } \frac{5}{2} > \frac{9}{4} \\ &= c\left(\frac{3}{2}\right)^{k+1} \end{aligned}$$

Thus, both the base case and induction step are proved and so we are done.

5. We want to show that there exist two constants  $c > 0$  and  $n_0 > 0$  such that, for all  $n \geq n_0$ ,

$$2^n \leq c n!$$

or, equivalently,

$$\frac{2}{n} \cdot \frac{2}{n-1} \cdot \frac{2}{n-2} \cdots \frac{2}{4} \cdot \frac{2}{3} \cdot \frac{2}{2} \cdot \frac{2}{1} \leq c.$$

On the left side, the numerator and denominator have  $n$  terms each. We pair them up and note that numerator terms are all less than or equal to their corresponding denominator terms, except for the last pair  $(\frac{2}{1})$ . We take the last pair to the other side,

$$\frac{2}{n} \cdot \frac{2}{n-1} \cdot \frac{2}{n-2} \cdots \frac{2}{4} \frac{2}{3} \cdot \frac{2}{2} \leq \frac{c}{2}.$$

The terms on the left side are for  $n \geq 2$ . If  $n = 1$ , then the left side is 1.

So, if we let  $c = 2$  and  $n_0 = 1$ , then this inequality indeed is true for all  $n \geq n_0$  since the right side is 1 and the left side is a product of terms that are each less than or equal to 1.

6. We need to show there exist two positive constants  $c, n_0$  such that, for all  $n \geq n_0$ ,

$$n \log n > c \log(n!).$$

Try  $c = 1$ . Since  $n \log n = \log(n^n)$ , and since  $\log x$  is monotonically increasing, it is enough for us to show that there exist  $n_0$  such that, for all  $n \geq n_0$ ,

$$n^n > n!$$

But it is easy to see that  $\frac{n^n}{n!} > 1$  since both numerator and denominator have  $n$  terms each, and if we take corresponding terms, we notice that the ratio is greater than or equal to 1 for each. Thus, the product of the ratios is greater than or equal to 1.

7. Here are two ways to do it. The first way:

$$\begin{aligned} t(n) &= \frac{n^2}{2} + 3 \log n - 40 \\ &\geq \frac{n^2}{2} - 40 \text{ for } n \geq 1 \end{aligned}$$

Since we are looking for a lower bound, let's try a constant  $c < \frac{1}{2}$ , specifically take  $c = \frac{1}{4}$ . We want to find an  $n_0$  such that, for all  $n \geq n_0$ ,

$$\frac{n^2}{2} - 40 > \frac{n^2}{4}$$

or equivalently

$$\frac{n^2}{4} > 40$$

We see  $n_0 = 13$  does the job, since  $13^2 = 169 > 160 = 4 * 40$ .

The second way to do it is to guess  $c = \frac{1}{2}$  and then find an  $n_0$  such that  $3 \log n - 40 > 0$  for all  $n > n_0$ . Choosing  $n_0 = 2^{\frac{40}{3}}$  does the job.

8. We are looking for a lower bound so let's try some constant  $c < \frac{1}{5}$ . Let's try  $c = \frac{1}{10}$ .

$$\begin{aligned} \frac{1}{5} \log(n-8) &> \frac{1}{10} \log n \\ \iff \log(n-8) &> \frac{1}{2} \log n \\ \iff \log(n-8) &> \log \sqrt{n} \\ \iff n-8 &> \sqrt{n} \end{aligned}$$

But the last inequality is true if  $n$  is sufficiently large, since  $n$  grows faster than  $\sqrt{n}$ . We still need to choose an  $n_0$ . The inequality holds for  $n_0 = 16$  since  $8 > 4$ . Moreover, dividing both sides by  $\sqrt{n}$  gives

$$\sqrt{n} > 1 + \frac{8}{\sqrt{n}}$$

which holds for all  $n > 16$  since the left side is increasing and the right side is decreasing. So,  $n_0 = 16$  does the job (and  $c = \frac{1}{10}$ ).

9. We need to show there exists two positive constants  $c, n_0$  such that, for all  $n \geq n_0$ ,

$$(n + 8)^{1.3} + 3n + 5 < cn^{1.3}.$$

$$\begin{aligned} (n + 8)^{1.3} + 3n + 5 &< (2n)^{1.3} + 3n + 5, \quad \text{if } n \geq 8 \\ &< 4n^{1.3} + 3n^{1.3} + 5n^{1.3}, \quad \text{since } 2^{1.3} < 2^2 = 4 \\ &= 12n^{1.3} \end{aligned}$$

So, take  $n_0 = 8$  and  $c = 12$ .

10. We want to show there exists a  $c > 0$  and  $n_0 \geq 1$  such that, for all  $n \geq n_0$ ,

$$\sqrt{31n + 12n \log n + 57} < c\sqrt{n} \log n.$$

But

$$\begin{aligned} \sqrt{31n + 12n \log n + 57} &< \sqrt{31n \log n + 12n \log n + 57n \log n}, \quad \text{when } n > 2 \\ &= \sqrt{100n \log n} \\ &= 10 \sqrt{n} \sqrt{\log n} \\ &< 10\sqrt{n} \log n, \quad \text{when } n > 2 \end{aligned}$$

where the last line follows from the fact that  $\sqrt{x} < x$  when  $x > 1$ . So, take  $n_0 = 3$  and  $c = 10$ .

11. No. Take  $f(n) = 2n$  and  $g(n) = n$ . However,  $2^{2n}$  is  $4^n$  which is not  $O(2^n)$ .
12. The definition of  $\Omega()$  requires  $c > 0$ . However, for any such  $c$  that we choose, there will be an  $n_0$  such that  $t(n) < c$  when  $n \geq n_0$ , namely  $n_0 = \frac{1}{c}$ . The idea here is that  $t(n)$  is not asymptotically bounded below by a strictly positive constant.
13. (a) When we compute the limit, we get:

$$\lim_{n \rightarrow \infty} \frac{5n^2 + 3n + 4}{n^2} = 5$$

So, the third limit rule gives us that  $t(n)$  is  $\Theta(g(n))$ , and thus in particular  $t(n)$  is  $O(g(n))$ .

[ASIDE: You might be thinking you would use the first limit rule using limits which said that if  $\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = 0$  then  $t(n)$  is  $O(g(n))$ . However, that rule doesn't apply here.]

(b) Since the limit is 5, you might be tempted to choose  $c = 5$  as your constant. However, if you plug  $c = 5$  into the inequality  $t(n) \leq cn^2$ , you see it never is true.

As an alternative, find an upper bound on  $t(n)$  as follows:

$$5n^2 + 3n + 4 < 5n^2 + 3n^2 + 4n^2 = 12n^2$$

and so we can take  $c = 12$  and  $n_0 = 1$ .

14. You might guess that  $t(n)$  is  $O(n)$ . Let's see what happens when we compute:

$$\lim_{n \rightarrow \infty} \frac{t(n)}{n} = \lim_{n \rightarrow \infty} \frac{\sqrt{n^2 + 100n} - n}{n} = \lim_{n \rightarrow \infty} \sqrt{1 + \frac{100}{n}} - 1 = 0.$$

Since the limit is 0,  $t(n)$  is not  $\Theta(n)$ . But what is the tighter upper bound? In fact,  $t(n)$  is  $O(1)$ . This is a bit tricky to prove using limits, so let's instead show it by finding an explicit constant upper bound.

$$\begin{aligned} t(n) &= \sqrt{n^2 + 100n} - n \\ &\leq \sqrt{n^2 + 100n + 2500} - n \\ &= \sqrt{(n + 50)^2} - n \\ &= n + 50 - n \\ &= 50 \end{aligned}$$

So,  $t(n)$  is bounded above by a constant for all  $n$ , which means  $t(n)$  is  $O(1)$ .

15. Since  $b > a$  we have that  $\lim_{n \rightarrow \infty} \frac{n^a}{n^b} = \lim_{n \rightarrow \infty} \frac{1}{n^{b-a}} = 0$ . Thus,  $n^a$  is  $O(n^b)$  but  $n^a$  is not  $\Omega(n^b)$ .

16. Since

$$\log_a n = \log_a b * \log_b n$$

they differ by a constant factor only, and so they are in the same  $\Theta$  class.