

## Lossy differential coding

Recall from lecture 22 that with *lossless* differential coding, the encoder sends the first value and then sends the difference between each value and the previous one. That is, the encoder sends  $x_1, x_2 - x_1, x_3 - x_2, \dots$ . The decoder then reconstructs the original sequence by  $x_{j+1} := x_j + (x_{j+1} - x_j)$ . Lossy differential coding is more subtle. With *lossy differential coding*, the encoder quantizes the differences  $x_{j+1} - x_j$ . Quantization introduces error, and so the encoder need to be careful with what errors it introduces.

### Quantization Method 1 (Bad)

The most obvious way to encode the differences (which turns out to be a bad method) is to quantize as follows:

$$Q^*(x_1), \quad Q(x_2 - x_1), \quad Q(x_3 - x_2), \quad Q(x_4 - x_3), \quad \dots$$

[Note that a different quantizer  $Q^*(\cdot)$  is used for  $x_1$  than for the differences  $x_{j+1} - x_j$  since we expect very different range of values for  $x_1$  than for the differences  $x_{j+1} - x_j$ .]

Unfortunately this turns out to be a bad method. Why? The decoder would estimate the original sequence of samples as follows. Let  $\hat{x}_j$  be the decoder's estimate of  $x_j$ , and define the quantization errors:

$$\epsilon_i \equiv Q(x_{i+1} - x_i) - (x_{i+1} - x_i), \quad \epsilon^* \equiv Q^*(x_1) - x_1$$

Then,

$$\begin{aligned} \hat{x}_1 &= Q^*(x_1) \\ \hat{x}_{j+1} &= \hat{x}_1 + \sum_{i=1}^j Q(x_{i+1} - x_i) \\ &= x_1 + \epsilon^* + \sum_{i=1}^j (x_{i+1} - x_i) + \sum_{i=1}^j \epsilon_i, \\ &= x_{j+1} + \epsilon^* + \sum_{i=1}^j \epsilon_i \end{aligned}$$

You might think that the quantization errors  $\epsilon_i$ 's would cancel out. But this is not always the case. For example, take the example that  $x_j = cj$  where  $c$  is a constant. Then,  $x_{j+1} - x_j = c$  and so  $\epsilon_j = Q(c) - c$  for all  $j$ . Thus, for this example,

$$\hat{x}_{j+1} = x_{j+1} + \epsilon^* + j(Q(c) - c)$$

and so the error grows without bound when  $Q(c) \neq c$ . Clearly this is not what we want.

### Quantization Method 2 (Good)

There is an easy way to fix the problem. Instead of encoding the quantized difference between  $x_{j+1}$  and  $x_j$ , the encoder sends the quantized difference between  $x_{j+1}$  and the decoder's estimate of  $x_j$ .

That is, the encoder quantizes the difference  $x_{j+1} - \hat{x}_j$ . So the encoder sends  $Q^*(x_1)$ ,  $Q(x_2 - \hat{x}_1)$ ,  $Q(x_3 - \hat{x}_2)$ ,  $\dots$ .

The decoder's estimate of  $x_{j+1}$  is:

$$\begin{aligned}\hat{x}_{j+1} &= \hat{x}_j + Q(x_{j+1} - \hat{x}_j) \\ &= \hat{x}_j + x_{j+1} - \hat{x}_j + \epsilon_j, \quad \text{that is, } \epsilon_j \equiv Q(x_{j+1} - \hat{x}_j) - (x_{j+1} - \hat{x}_j) \\ &= x_{j+1} + \epsilon_j\end{aligned}$$

Now we see that the decoder's error does not accumulate!

*The general principle here is that the encoder should encode differences with respect to the decoder's estimate, not differences with respect to the true value.* The principle will be used several times in the remaining lectures.

## Linear predictive coding

Let's generalize the method of differential coding, by making the estimate for  $\hat{x}_{j+1}$  depend on the estimates for the previous  $k$  samples, rather than only on the estimate of the previous sample. That is, rather than using  $\hat{x}_j$  as a predictor for  $x_{j+1}$  and encoding the difference, the prediction for  $x_{j+1}$  is a weighted sum of  $\hat{x}_j$ ,  $\hat{x}_{j-1}$ ,  $\dots$ ,  $\hat{x}_{j-k+1}$ , namely

$$\sum_{m=0}^{k-1} a_m \hat{x}_{j-m}$$

where the  $a_m$ 's are suitably chosen constants. Note that if  $a_0 = 1$  and  $a_m = 0$  for  $m > 0$ , then we just have differential coding as discussed last class.

To get process started, the encoder needs to transmit the first  $k$  samples, either the original values  $x_1, \dots, x_k$ , or quantized original values  $\hat{x}_1, \dots, \hat{x}_k$ . The encoder also needs to encode the  $k$  constants  $a_0, \dots, a_{k-1}$ . I'll explain next lecture how these constants are obtained.

To encode the next value of the sequence, i.e.  $x_{j+1}$ , the encoder encodes the quantized difference between  $x_{j+1}$  and the predicted value of  $x_{j+1}$ , that is,

$$Q(x_{j+1} - \sum_{m=0}^{k-1} a_m \hat{x}_{j-m}) .$$

Then, the decoder's estimate of  $x_{j+1}$  is

$$\hat{x}_{j+1} = \sum_{m=0}^{k-1} a_m \hat{x}_{j-m} + Q(x_{j+1} - \sum_{m=0}^{k-1} a_m \hat{x}_{j-m}) , \quad (1)$$

that is, the predicted value plus the encoded difference. If  $\epsilon_{j+1}$  is the quantization error in the difference between the predicted value and the true value of  $x_{j+1}$ , i.e.

$$\epsilon_{j+1} \equiv Q(x_{j+1} - \sum_{m=0}^{k-1} a_m \hat{x}_{j-m}) - (x_{j+1} - \sum_{m=0}^{k-1} a_m \hat{x}_{j-m})$$

then,

$$\hat{x}_{j+1} = x_{j+1} - \epsilon_{j+1}$$

and so there is no accumulation of error.