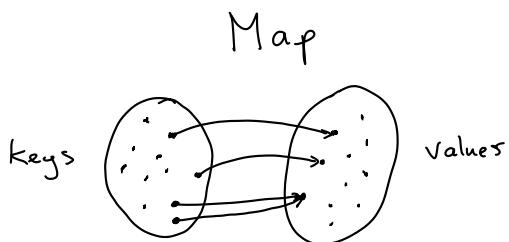


## lecture 3

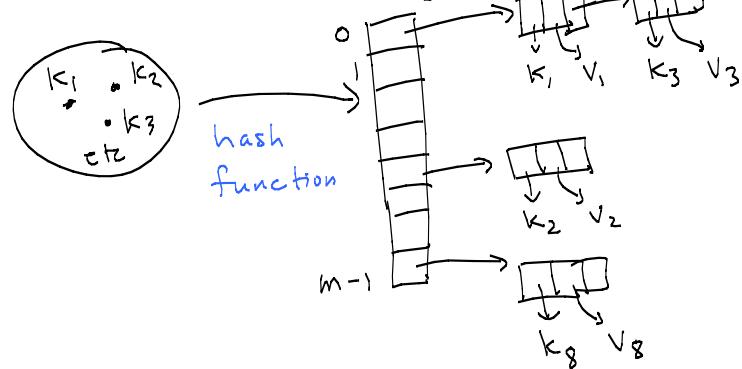
## hashing, hash tables



A map is a set of (key, value) pairs.

Each key maps to at most one value.

## Hash Map ( Hash function + Hash Tables)



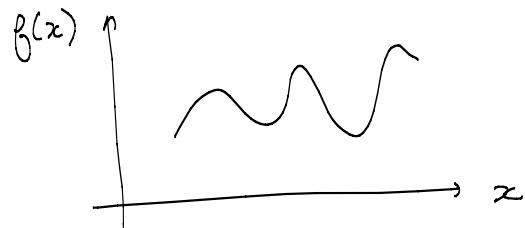
## Background Resources

- my COMP 250 lectures 31,32

## Mix of Background + New Material

- Courses:
    - Sedgewick Algorithms 1 week b  
<https://class.coursera.org/alg4part1>.  
<https://class.coursera.org/alg4part1-003/lecture/55>
    - Roughgarden Algorithms 1 week b  
<https://class.coursera.org/alg-004/lecture>
  - Cormen Leiserson Rivest (CLR) Ch. 12

e.g.  $\{(x, f(x))\}$



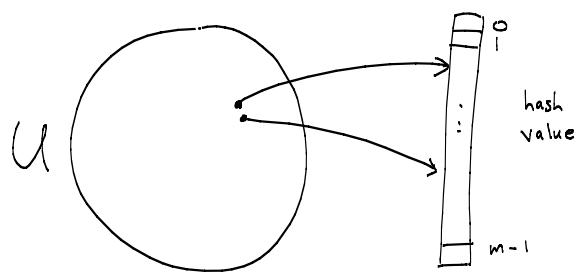
This is also a map. ("function" = "map")  
But we will NOT be talking about  
continuous functions / maps.

## Hash Function

$$h : \underset{\text{universe of possible keys}}{\mathcal{U}} \rightarrow \{0, 1, \dots, m-1\}$$

e.g.  $U$  might be the set of all finite length strings  
(This set has infinite size.)

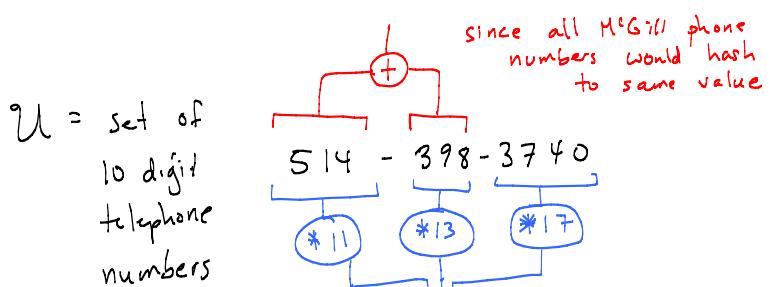
"Hash" - mixing



"Nearby / similar" keys in U should map to different values.

Example of good/bad hash function

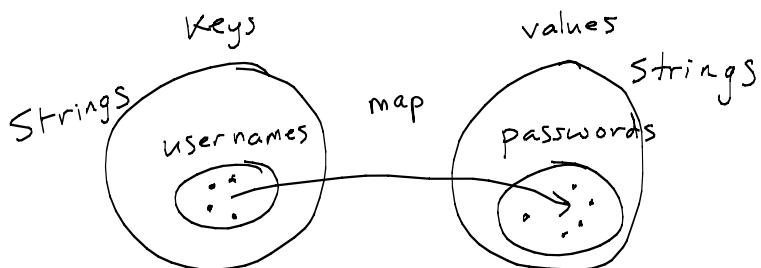
Bad hash function



Good hash function

Example where hashing is used:

### Password Authentication



$\{ (\text{username}, \text{password}) \}$  map  
- stored as a file on some webserver

To login, enter username and password.  
Server verifies that password entered matches user's password in file.

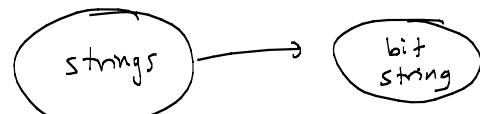
Problem: hacker could steal the file

Solution: the webserver hashes  
password and stores map  
 $(\text{username}, \text{hash}(\text{password}))$  instead  
of  $\{ (\text{username}, \text{password}) \}$ .

Q: What happens when you log in?  
You enter  $(\text{username}, \text{password})$   
The "system" does what?

A:  
It computes  $\text{hash}(\text{password})$ ,  
"throws away" your real password,  
and verifies that the hashed  
password matches the one in  
the password file.

There exist "standard" algorithms for hashing  
passwords and other private text  
( "cryptographic hashing" )

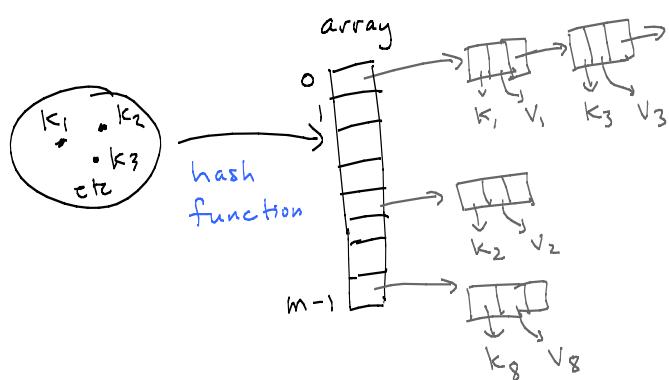


e.g. MD-5 (128 bits)  
SHA-1 (256 bits)

<http://www.md5.cz/>

Details of these algorithms are not  
worth covering in COMP 251  
( complicated "bit mixing" operations ).

## Hash Functions for Hash Tables



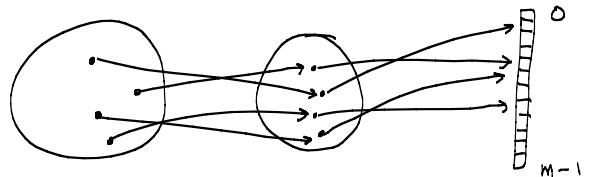
## Hash functions for hash tables:

two steps

hash coding      compression

$h: U \rightarrow \{\text{integers}\} \rightarrow \{0, 1, \dots, m-1\}$

universe      "hash codes"      "hash values"



## Hash function: two steps

$$h: U \rightarrow \{0, \dots, m-1\}$$

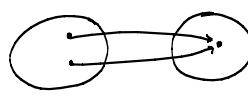
$$h(\text{key}) = \text{compression}(\text{hashCode}(\text{key}))$$



Composition of maps

hash coding  
 $h: K \rightarrow \{\text{integers}\}$

In Java, every class has a `hashCode()` method which returns an "int": 32 bits.



It can happen (but it's rare) that two keys e.g. Strings  $s_1$  &  $s_2$  have the same hashCode.

hash Coding      compression

$$h: K \rightarrow \{\text{integers}\} \rightarrow \{0, 1, \dots, m-1\}$$

hash codes      hash values

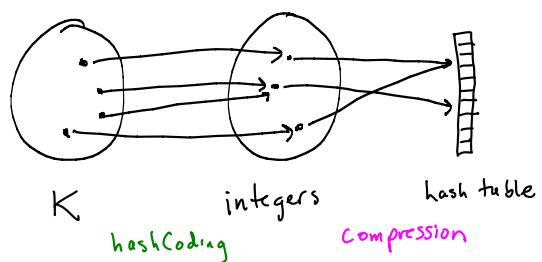
It often happens that compression causes two hash codes to map to the same value.

hash code	hashcode % m ( $m=7$ )
41	6
16	2
25	4
21	0
36	1
35	3
53	4

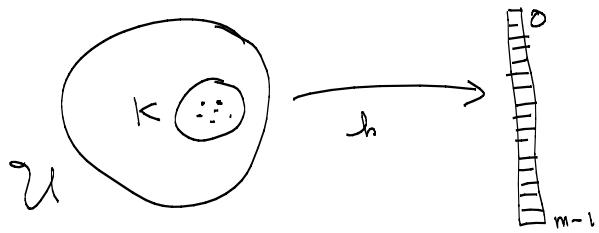
## Collisions

Hash function maps two keys to the same index in the hash table

- either
- two keys have same hash code
  - two keys have different hash codes but are compressed to same hash value



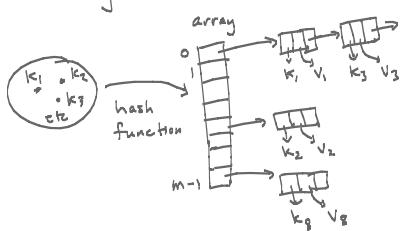
[Exercise: if you have some background in probability]



If there are  $|K|$  randomly chosen keys, and  $m$  "buckets" in the table, what is the probability of a collision?

## Hash Table Data Structures

- open hashing - "linear chaining" (COMP 250)

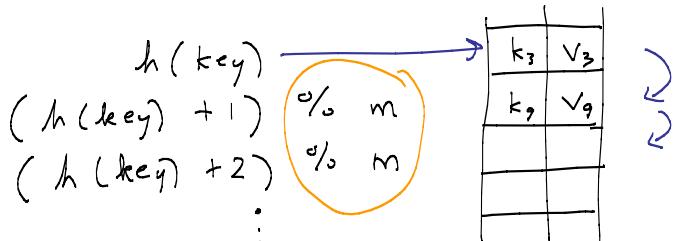


- closed hashing - probing (COMP 251)

Sometimes called  
"open addressing"

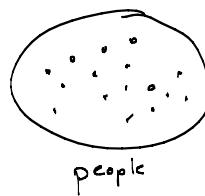
## Linear Probing

If there is a collision, then check next entry in table:



What is this for?

## "Birthday Problem"



days in year

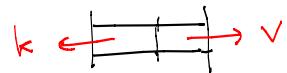
Given a set of (randomly chosen)  $n$  people, what is the probability that at least one pair of them has the same birthday? e.g. for  $n = 23$ , probability  $\approx 0.5$  is 50% chance!

The point: Collisions happen a lot.

## Closed Hashing

At most one (key, value) is stored per array slot. No linked list overhead, no dynamic memory allocation!

K	V
$k_1$	$v_1$
$k_2$	$v_2$
$k_3$	$v_3$
$\vdots$	



or store a pair of references

use two arrays

## Example. ( $m = 10$ )

key	$h(\text{key})$
$k_1$	6
$k_2$	2
$k_3$	1
$k_4$	3
$k_5$	1

0	
1	$k_3, v_3$
2	$k_2, v_2$
3	$k_4, v_4$
4	$k_5, v_5$
5	
6	$k_1, v_1$
7	
8	
9	

## Linear Probing and Clustering

Consider some empty slot in the table.

Q: What is the probability that the next  $\text{put}(\text{key}, \text{value})$  will be to that slot?

A:

$\frac{l+1}{m}$  where the  $l$  previous slots are occupied.

$k_3$	$v_3$
$k_2$	$v_2$
$k_4$	$v_4$
$k_5$	$v_5$
$k_1$	$v_1$

## Linear Probing and Clustering

Consider some empty slot in the table.

Q: What is the probability that the next  $\text{put}(\text{key}, \text{value})$  will be to that slot?

A:  $\frac{l+1}{m}$  where the  $l$  previous slots are occupied.

$k_3$	$v_3$
$k_2$	$v_2$
$k_4$	$v_4$
$k_5$	$v_5$
$k_1$	$v_1$

Bigger clusters grow faster!

## More general probing method

$$\begin{aligned} h_0(\text{key}) \\ h_1(\text{key}) \% m \\ h_2(\text{key}) \% m \\ \vdots \\ h_{m-1}(\text{key}) \% m \end{aligned} \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \text{sequence of hash functions}$$

For linear probing,

$$h_i(\text{key}) = h_0(\text{key}) + i$$

We also want  $h_0(\text{key})$ ,  $h_1(\text{key})$ ,  $h_2(\text{key})$ , ... to map to different slots! (no "self collisions")

- Linear probing ensures this, but suffers from clustering  $\textcircled{1}$
- Quadratic probing ensure this up to  $h_i()$ , where  $i = \sqrt{m}$ . Why?

What about for larger values of  $i$ ?

## Quadratic Probing

$$\begin{aligned} h_0(\text{key}) &= h(\text{key}) \\ h_1(\text{key}) &= h(\text{key}) + 1 \% m \\ h_2(\text{key}) &= h(\text{key}) + 2^2 \% m \\ h_3(\text{key}) &= h(\text{key}) + 3^2 \% m \\ &\vdots \\ h_i(\text{key}) &= h(\text{key}) + i^2 \% m \end{aligned}$$

Idea: by using different step sizes, we avoid clustering.

For quadratic probing, under what conditions does

$$h_i(\text{key}) \% m = h_j(\text{key}) \% m ?$$

Exercise: show the following

$$\begin{aligned} (h_0(\text{key}) + i^2) \% m &= (h_0(\text{key}) + j^2) \% m \\ \Leftrightarrow (i^2 - j^2) \% m &= 0 \\ \text{"if and only if"} \end{aligned}$$

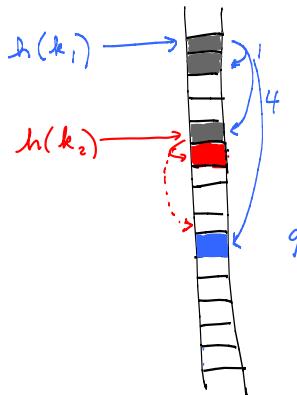
$$(i^2 - j^2) \% m = 0$$

$$\Leftrightarrow (i-j)(i+j) \% m = 0$$

$$\Leftrightarrow (i-j)(i+j) = cm \text{ for some integer } c.$$

### Exercise (easy with MATH 240)

If we choose  $m$  to be a prime number and we require  $i$  and  $j$  are less than  $\frac{m}{2}$  then the above equations are true if and only if  $i = j$ .



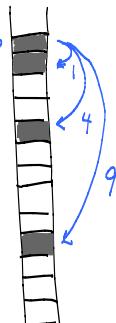
### Quadratic Probing

When  $h_0(k_2) \neq h_0(k_1)$

the probe paths tend to land in different slots.

(Collisions only rarely occur.)

### Quadratic Probing



When  $h_0(k_2) = h_0(k_1)$

the probe paths land in exactly the same slots.

(Collisions can easily occur.)

### Another common approach: Double hashing

Use two hash functions  $h()$ ,  $g()$ .

probe sequence

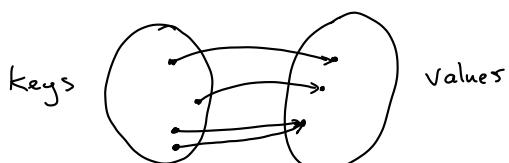
$$h_i(\text{key}) = h(\text{key}) + i \cdot g(\text{key})$$

step size

for  $i = 0, 1, \dots, m-1$ .

Choose  $h()$ ,  $g()$  such that different keys tend to have different probe sequences.  
(unlikely to have collision for both)

### Map



Map ADT supports several operations

- put (key, value)
- get (key)
- delete (key) ← not always needed

For closed hashing, deletion works poorly. Why? e.g. linear probing

key	<u><math>h(\text{key})</math></u>
$k_1$	6
$k_2$	2
$k_3$	1
$k_4$	3
$k_5$	1
put $k_5$	1
delete $k_3$	1
get $k_5$	1

slot	key	value
1	$k_3$	$v_3$
2	$k_2$	$v_2$
3	$k_4$	$v_4$
4	$k_5$	$v_5$
5		
6	$k_1$	$v_1$
7		
8		
9		

get ( $k_5$ ) will fail because slot 1 will be empty even though  $k_5$  is in the table

The previous slide  
is very important  
(even though it is only  
one slide)

## Map data structures (lectures 2 & 3)

- balanced search tree  $O(\log n)$  access

requires Comparable keys and allows more ops (e.g. find the top k keys)

- hash table  $O(1)$  access  
[assuming good hash functions]

put  
get  
delete  $\leftarrow$  only open hashing

## Next lecture

- heaps (review COMP 250)
- AI should be posted by Thursday evening